

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| · APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------|----------------|----------------------|-------------------------|------------------|
| 10/756,793 | 01/13/2004 | John W. Babich | 346715-0539 | 1512 |
| 48329 7 | 590 11/24/2006 | | EXAMINER | |
| | ARDNER LLP | | CHANG, | CELIA C |
| 111 HUNTING 26TH FLOOR | GTON AVENUE | • | ART UNIT | PAPER NUMBER |
| BOSTON, MA | A 02199-7610 | | 1625 | |
| | | | DATE MAILED: 11/24/2000 | 6 |

Please find below and/or attached an Office communication concerning this application or proceeding.

| , | Application No. | Applicant(s) | | | |
|--|--|---|-----------|--|--|
| | 10/756,793 | BABICH ET AL. | | | |
| Office Action Summary | Examiner | Art Unit | | | |
| | Celia Chang | 1625 | | | |
| The MAILING DATE of this communication appeared for Reply | opears on the cover sheet v | vith the correspondence addr | ess | | |
| A SHORTENED STATUTORY PERIOD FOR REPI WHICHEVER IS LONGER, FROM THE MAILING [- Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUN. 136(a). In no event, however, may a d will apply and will expire SIX (6) MO te, cause the application to become A | ICATION. In reply be timely filed INTHS from the mailing date of this commandation (35 U.S.C. § 133). | | | |
| Status | | | | | |
| 1) Responsive to communication(s) filed on 06 s | September 2006. | | | | |
| · · · · · · · · · · · · · · · · · · · | is action is non-final. | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| closed in accordance with the practice under | Ex parte Quayle, 1935 C. | D. 11, 453 O.G. 213. | | | |
| Disposition of Claims | | | | | |
| . 4)⊠ Claim(s) <u>159-164</u> is/are pending in the application | ation. | • | | | |
| 4a) Of the above claim(s) is/are withdra | | | | | |
| 5) Claim(s) is/are allowed. | | | | | |
| 6) Claim(s) <u>159-164</u> is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and/ | or election requirement. | | | | |
| Application Papers | | | | | |
| 9) The specification is objected to by the Examin | er | | | | |
| 10) The drawing(s) filed on is/are: a) ac | | by the Examiner. | | | |
| Applicant may not request that any objection to the | • | • | | | |
| Replacement drawing sheet(s) including the correct | - · · | , , | 1.121(d). | | |
| 11)☐ The oath or declaration is objected to by the E | Examiner. Note the attache | ed Office Action or form PTO | -152. | | |
| Priority under 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a claim for foreig | n priority under 35 U.S.C. | § 119(a)-(d) or (f). | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority documen | ate have been received | | | | |
| 2. Certified copies of the priority document | | Application No. | | | |
| 3. Copies of the certified copies of the prior | | · · | age | | |
| application from the International Burea | • | | ago | | |
| * See the attached detailed Office action for a lis | . , , , , | t received. | | | |
| | • | | | | |
| | | | | | |
| Attachment(s) | | | | | |
| 1) X Notice of References Cited (PTO-892) | 4) Interview | Summary (PTO-413) | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No | (s)/Mail Date | | | |
| Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date | 5) | Informal Patent Application | | | |
| S. Patent and Trademark Office | | | | | |
| TOL-326 (Rev. 08-06) Office A | Action Summary | Part of Paper No./Mail Date | 20061116 | | |

Art Unit: 1625

DETAILED ACTION

1. Applicant's election without traverse of Group I, claims 159-164 in the reply filed on Sept. 6, 2006 is acknowledged.

Claims 1-158 have been canceled. Claim 159 as currently amended and claims 160-164 are pending.

2. Claims 159-164 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claims contain subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention.

There is insufficient description for the scope as now amended. Please note that on pages 9-16 of the specification wherein the compounds of formula A and analogous structural compounds were describe, the preferred embodiment are limited to X is O compounds and no specific subgeneric scope for the instantly amended X are exclusively H₂ were found. The only antecedent basis for X are H₂ compound is found on page 7, compound 9. No subgeneric description founds antecedent basis in the specification, thus, are considered new matter. As set forth by In re Smith 173 USPQ 679, the guideline is that a genus may not support a subgenus even though there is a disclosed species within the subgenus. A careful survey of the specification founds description in formula A and analogous compounds, no description of the instant subgenus can be found.

Further, there is no definition for the term radionuclide in terms of elements, size, ionic or chemical nature of this Markush element. The elements find antecedent basis to this term are limited to Rhenium and Technetium and only Rhenium has been exemplified with the instant formula A or compound 9.

Therefore, to the extend that claims 159-164 wherein the formula A is other than compound 9, and to the extend the radionuclide is other than Re or Tc, the claims contain new matter. Removal of new matter is required. In re Russmussen 210 USPQ 325.

Art Unit: 1625

3. Claim 161 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The term "imaging brain tissue" is confusing. A method claim must include the steps of how such process is being carried out. The term imaging does not offer any steps or effects of such steps, dosage or site of administration. Besides, if the compounds are dopamine transporter ligands, how does such binding provide imaging of the whole brain? The scope of the claim is indefinite.

4. Claims 159-164 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claims contain subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The standard for determining whether the specification meets the enablement requirement was cast in the Supreme Court decision of *Mineral Separation v. Hyde*, 242 U.S. 261, 270 (1916), where the Supreme Court looked to whether the experimentation needed to practice an invention was undue or unreasonable. *Id.* An invention must be described so that any person skilled in the art can make and use the invention without undue experimentation. *In re Wands*, 858 F.2d at 737, 8 USPQ2d at 1404 (Fed. Cir. 1988). As stated in the MPEP 2164.01(a) "There are many factors to be considered when determining whether there is sufficient evidence to support a determination that a disclosure does not satisfy the enablement requirement and whether any necessary experimentation is "undue". The analysis must consider all the evidence related to each of these factors, and any conclusion of nonenablement must be based on the evidence as a whole. *Id.* at 740, *Id.* at 1407. The factors to be considered herein are those set forth as the In re Wands, 8 USPQ 2nd 1400 (1988) decision.

The analysis is applied to the instant case.

Nature of invention

The claims are drawn to a radionuclide complex of a compound represented by formula A and method of using such a complex for brain imaging.

Art Unit: 1625

The state of the art and predictability

The state of the art in biological imaging material indicated that the particularity of the compound i.e. its chelating nature and the specific elements carrying radioactivity must form with such particularity in size, charge and stability as to be operable in carrying the emitting material to the site for photon emission tomography. There is no evidence in the field that compounds with diverse structure or radioelement with diverse size can predict such photon emission tomography requirement. See Kung et al., Cutler et al. or Sohn attached.

The amount of guidance and working examples

In the specification, no definition was given to the size, charge or stability required by the term "radionuclide" with only two elements being exemplified, Re and Tc. The only compound for X is H_2 is compound 9, disclosed on p.7. In addition, compound 9 is a dopamine transporter ligand for which the binding to other anatomical structure of the brain has not been described or supported by documents of record. The particularity in radiolabeling of the dopamine transporter system finds little generalization that structural diverse compounds or diverse radioactive elements can be operable for such process. Further, while binding of dopamine transporter system can provide visual evaluation in diagnosis and monitoring of disease such as Parkinson's disease, has not been widely accepted to provide visual evaluation for all possible brain tissue deviation.

Therefore, for the scope of the claims beyond compound 9 with radionuclide Re, and the photon emission tomography of the dopamine transporter system, the specification provided no enabling support.

- 5. No claim is allowed.
- 6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Celia Chang whose telephone number is 571-272-0679. The examiner can normally be reached on Monday through Thursday from 8:30 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas McKenzie, Ph. D., can be reached on 571-272-0670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

OACS/Chang

Celia Chang Primary Examiner Art Unit 1625